1 for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Tra ark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control numbe Application Number 10/087,098 **TRANSMITTAL** Filing Date 03/01/2002 TRADE **FORM** First Named Inventor OCT 2 0 2003 G. Eric Engstrom Art Unit 2682 (to be used for all correspondence after initial filing) Technology Center 2600 **Examiner Name** West, Lewis G. Attorney Docket Number 109909-129542 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication V Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC ~ Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Return Receipt Postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm SCHWABE, WILLIAMSON & WYATT, PC Individual name Signature

CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Heather L. Adamson Date 10/10/2003

Date

10/10/2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB control number. Paperwork Reduction Act of 1995, no persons are required to respond

for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	O	OO.

Co	omplete if Known	
Application Number	10/087,098	RECEIVED
Filing Date	03/01/2002	Bear Decide 1 to the feath
First Named Inventor	G. Eric Engstrom	OCT 2 0 200
Examiner Name	West, Lewis G.	
Art Unit	2682	Technology Center 2600
Attorney Docket No.	109909-129542	

METHOD OF PAYMENT (check all that apply)				FEI	E CALCULATION (continued)	
Check Credit card Money Other No	ne 3. /	ADDIT	ONA	L FEE	S	
Deposit Account:	L <u>arg</u>	e Entity	Smal	Entity	,	
Denosit	Fee Cod		Fee Code	Fee (\$)	Fee Description	Foo Doid
Account 500393	105	• • •	2051		Surcharge - late filing fee or oath	Fee Paid
Number Deposit Account Schwabe, Williamson	105		2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply)	105	3 130	1053	130	Non-English specification	<u> </u>
Charge fee(s) indicated below Credit any overpayment	s 181	2 2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	180	4 920 *	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	180	5 1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-identified deposit account.	125	1 110	2251	55	Extension for reply within first month	
FEE CALCULATION	125		2252	210	Extension for reply within second month	
1. BASIC FILING FEE Large Entity Small Entity	125		2253	475	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid	1 125	4 1,480	2254	740	Extension for reply within fourth month	
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	_ 125	5 2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	140	•	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	140		2402		Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	140	3 290	2403		Request for oral hearing	
1005 160 2005 80 Provisional filing fee	145	1 1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00	145	2 110	2452	55	Petition to revive - unavoidable	
	145	3 1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSI	JE ₁₅₀	1 1,330	2501	665	Utility issue fee (or reissue)	
Extra Claims below Fee Pa	id 150	2 480	2502	240	Design issue fee	
Total Claims	150	3 640	2503	320	Plant issue fee	I
Claims - 3** = X = X = Hultiple Dependent	146	0 130	1460	130	Petitions to the Commissioner	<u> </u>
	180	7 50	180	7 50	Processing fee under 37 CFR 1.17(q)	<u> </u>
Large Entity Small Entity Fee Fee Fee Fee Fee Description	180	6 180	180		Submission of Information Disclosure Stmt	<u> </u>
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	802	1 40	802		Recording each patent assignment per property (times number of properties)	<u> </u>
1201 86 2201 43 Independent claims in excess of 3	180	9 770	280	9 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not pa 1204 86 2204 43 ** Reissue independent claims	d 181	0 770	281	385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 86 2204 43 ** Reissue independent claims over original patent	180	1 770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	180	2 900	1802	900	Request for expedited examination of a design application	
Other fee (specify)				<u> </u>		
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00					ee Paid SUBTOTAL (3) (\$) 0.00	

SUBMITTED BY	(Complete	(if applicable))		
Name (Print/Type)	Aloysius T.C. AuYeung	Registration No. (Attorney/Agent) 35,4	432 Telephone	503-222-9981
Signature	Wh		Date	10/10/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#: P004

Patent



10/30/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

ENGSTROM ET AL.

Application No.: 10/087,098

Filed: March 1, 2002

PERSONALIZING ELECTRONIC For:

DEVICES AND SMART

COVERING

Examiner: West, Lewis G

Art Group: 2682

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date:_ 10/10/2003

Typed or Printed: Heather ⊬Adamson

OCT 2 0 2003

Technology Center 2600

COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

AMENDMENT AND RESPONSE

Sir:

This communication is responsive to the office action dated July 31, 2003.

Reconsideration of the captioned application in view of the amendment and remarks to follow is respectfully requested.